

10/05/01

jc951 U.S. PTO

10-09-01

PTO/SB/05 (3-01)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box → +

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonapplications under 37C.F.R. §1.53(b))

Attorney Docket No.

PC11076A

First Inventor

Laura C. Blumberg

Title

BRIDGED PIPERAZINE DERIVATIVES

Express Mail Label No.

EF321677991US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. Applicant claims small entity status
See 37 CFR 1.27

3. Specification [Total Pages 87]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total sheets]

5. Oath or Declaration [Total pages 1]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR §1.63(d))
 (for continuation/divisional with Box 18 completed)
 i. DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. Computer Readable Copy (CRF)

b. Specification Sequence Listing on:
 i. CD-ROM or CD-R (2 copies)
 ii. Paper

c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Powers of Attorney
(when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.

17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37CFR 1.76.

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below



23913

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

NAME (Print/type)	Israel Nissenbaum	Registration No. (Attorney/Agent)	27,582
-------------------	-------------------	-----------------------------------	--------

Signature		Date	October 5, 2001
-----------	--	------	-----------------

10/05/01
JC951 US
PTO

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
For FY 2001

Patent Fees are subject to annual Revision

Total Amount of Payment (\$740)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Laura C. Blumberg
Examiner Name	
Group/Art Unit	
Attorney Docket No.	PC11076A

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

16-1445

Deposit Account Name
 Pfizer Inc Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status.

See 37 CFR 1.27

2. Payment Enclosed: Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
191	740	201	370	Utility filing fee	740
196	330	206	165	Design filing fee	
197	510	207	255	Plant filing fee	
198	740	208	370	Reissue filing fee	
111	150	214	75	filings fee	
SUBTOTAL (1) (\$)					740

2. EXTRA CLAIM FEES

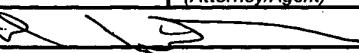
		Extra Claims		Fee from below	Fee Paid
Total Claims		14	-20**=	0 X 0	= 0
Independent Claims		1	- 3**=	0 X 0	= 0
Multiple Dependent					
Large Entity		Small Entity		Fee Description	
Fee Code	Fee	Fee code	Fee		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$ 0			

** or number previously paid, if greater; For Reissues, see above

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late fee or oath	
127	50	227	25	Surcharge-late filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55		
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination(RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other (specify)					
*Reduced by Basic Filing Fee Paid					Subtotal (3)

SUBMITTED BY

Complete if applicable

Type or Printed Name	Israel Nissenbaum	Registration No. (Attorney/Agent)	27,582	Telephone	(212) 733-6475
Signature			Date	October 5, 2001	

Express Mail No. EF321677991US